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CORTICAL MECHANISMS OF SEEING AND HEARING SPEECH

Riikka Möttönen

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E-mail: Riikka.Mottonen@hut.fi

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Abstract

In face-to-face communication speech is perceived through eyes and ears. The talker's articulatory gestures are seen and the speech sounds are heard simultaneously. Whilst acoustic speech can be often understood without visual information, viewing articulatory gestures aids hearing substantially in noisy conditions. On the other hand, speech can be understood, to some extent, by solely viewing articulatory gestures (i.e., by speechreading).

In this thesis, electroencephalography (EEG), magnetoencephalography (MEG) and functional magnetic resonance imaging (fMRI) were utilized to disclose cortical mechanisms of seeing and hearing speech.

One of the major challenges of modern cognitive neuroscience is to find out how the brain integrates inputs from different senses. In this thesis, integration of seen and heard speech was investigated using EEG and MEG. Multisensory interactions were found in the sensory-specific cortices at early latencies and in the multisensory regions at late latencies.

Viewing other person's actions activate regions belonging to the human mirror neuron system (MNS) which are also activated when subjects themselves perform actions. Possibly, the human MNS enables simulation of other person's actions, which might be important also for speech recognition. In this thesis, it was demonstrated with MEG that seeing speech modulates activity in the mouth region of the primary somatosensory cortex (SI), suggesting that also the SI cortex is involved in simulation of other person's articulatory gestures during speechreading.

The question whether there are speech-specific mechanisms in the human brain has been under scientific debate for decades. In this thesis, evidence for the speech-specific neural substrate in the left posterior superior temporal sulcus (STS) was obtained using fMRI. Activity in this region was found to be greater when subjects heard acoustic sine wave speech stimuli as speech than when they heard the same stimuli as non-speech.

Key words:

auditory cortex, electroencephalography, functional magnetic resonance imaging, magnetoencephalography, multisensory, speech, SI, somatosensory cortex, superior temporal sulcus

Author:	Riikka Möttönen Laboratory of Computational Engineering Helsinki University of Technology Finland
Supervisor:	Academy Professor Mikko Sams Laboratory of Computational Engineering Helsinki University of Technology Finland
Preliminary examiners:	Professor Kimmo Alho Department of Psychology University of Helsinki Finland
	Professor Heikki Lyytinen Department of Psychology University of Jyväskylä Finland
Official opponent:	Professor Ruth Campbell Department of Human Communication Science University College London United Kingdom

Publications

The dissertation is based on following papers:

- Study I: **Möttönen, R.**, Krause, C. M., Tiippana, K., and Sams, M. (2002) Processing of changes in visual speech in the human auditory cortex. *Cognitive Brain Research*, 13, 417–425.
- Study II: Klucharev, V., **Möttönen, R.**, and Sams, M. (2003) Electrophysiological indicators of phonetic and non-phonetic multisensory interactions during audiovisual speech perception. *Cognitive Brain Research*, 18, 65–75.
- Study III: **Möttönen, R.**, Schürmann, M., and Sams, M. (2004) Time course of multisensory interactions during audiovisual speech perception in humans: a magnetoencephalographic study. *Neuroscience Letters*, 363, 112–115.
- Study IV: **Möttönen, R.**, Järveläinen, J., Sams, M., and Hari, R. (in press) Viewing speech modulates activity in the left SI mouth cortex. *NeuroImage*.
- Study V: Möttönen, R., Calvert, G. A., Jääskeläinen, I. P., Matthews, P. M., Thesen, T., Tuomainen, J., and Sams, M. (2004). Perception of identical acoustic stimuli as speech or non-speech modifies activity in left posterior superior temporal sulcus. *Technical Report B42, ISBN* 951-22-7412-4, Helsinki University of Technology, Laboratory of Computational Engineering.

Contributions of the author

I was the principal author in Studies I and III–V. I planned the experiments, carried out the measurements, analyzed the data and wrote the papers. My co-authors provided contributions at all stages of the studies. I had an active role in planning the experiment, preparing the stimuli and writing the paper in Study II.

Abbreviations

BA	Brodmann's area
BOLD	blood oxygenation level dependent
CNS	central nervous system
ECD	equivalent current dipole
EEG	electroencephalography
EMG	electromyography
EOG	electro-oculography
ERF	event related field
ERP	event related potential
FLMP	fuzzy logical model of perception
fMRI	functional magnetic resonance imaging
HG	Heschl's gyrus
ISI	interstimulus interval
MCE	minimum current estimate
MEG	magnetoencephalography
MEP	motor evoked potential
M1	primary motor cortex
MMF	mismatch field
MMN	mismatch negativity
MN	median nerve
MNS	mirror neuron system
MRI	magnetic resonance imaging
PAC	primary auditory cortex
ROI	region of interest
SC	superior colliculus
SEF	somatosensory evoked field
SEM	standard error of mean
SI	primary somatosensory cortex
SQUID	superconducting quantum interference device
STG	superior temporal gyrus
STS	superior temporal sulcus
SWS	sine wave speech
TE	time to echo
TMS	transcranial magnetic stimulation
TR	time for repetition
V1, V5/MT	visual cortical areas

Preface

This thesis work was carried out in the Laboratory Computational Engineering (LCE) at the Helsinki University of Technology. The work was financially supported by the Academy of Finland and the Finnish Graduate School of Neuroscience.

Academy Professor Mikko Sams has been very enthusiastic and supportive supervisor, who has provided me wonderful opportunities to develop my skills. I am extremely grateful for his skillful guidance and encouragement.

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I dedicate this thesis to my beloved parents Marja and Sakari.

Riikka Möttönen

Table of Contents

Abstract	i
Publications	iii
Abbreviations	iv
Preface	v
Table of Contents	vi
Chapter 1: Review of Literature	1
Hearing Speech	1
Speech perception theories	1
Neural basis of speech perception	2
Seeing Speech	6
Intelligibility of seen speech	6
Neural basis of seeing speech	7
Integration of heard and seen speech	9
Psychophysical evidence	9
Early or late integration?	10
Neural mechanisms of multisensory processing	11
Audiovisual speech processing in the human brain	13
Chapter 2: Brain research methods used in the study	15
Electro- and magnetoencephalography (EEG and MEG)	15
Generation of electric potentials and neuromagnetic fields	15
Measurement devices	17
Analysis methods	
Functional magnetic resonance imaging (fMRI)	19
Blood oxygenation level dependent (BOLD)	19
Measurement devices	20
Analysis methods	20
7 mary 515 methods	
Chapter 3. Aims of the study	22
Chapter 4: Experiments	23
Summary of methods	23
Subjects	
Stimuli	23
Data acquisition	25
Source analysis in MEG studies	
Study I: Changes in visual speech modulate activity in the auditory cortices	27
Introduction and methods	27
Results and discussion	28
Study II: Non-phonetic interactions precede phonetic interactions during	
audiovisual speech processing	29
Introduction and methods	29
Results and discussion	30

Study III: Acoustic and visual speech inputs interact in auditory cortices earlier	
than in a multisensory region	.32
Introduction and methods	.32
Results and discussion	.32
Study IV: Viewing speech modulates activity in the mouth region of the left	
primary somatosensory cortex (SI)	.34
Introduction and methods	.34
Results and discussion	.35
Study V: Left posterior STS contains neural substrate for speech perception	.37
Introduction and methods	.37
Results and discussion	.38
Chapter 5: General discussion	.41
Processing of acoustic and visual speech	.41
Speech processing in the superior temporal cortex	.41
Embodied simulation of speech	.42
Multisensory interactions during audiovisual speech perception	.43
Early cortical interactions	.43
Late cortical interactions	.44
Summary and insights to further studies	.45
Conclusions	.46
References	.47

Chapter 1: Review of Literature

The following sections review theoretical views on speech perception and experimental studies on the neural basis of hearing and seeing speech. The first and second sections focus on auditory and visual speech perception, respectively, whereas the third section focuses on audiovisual speech perception.

Hearing Speech

Speech perception theories

Speech sounds are produced in a talker's articulatory organs (for a review, see e.g., Borden et al., 1994). The subglottal structures (diaphragm, trachea and lungs) serve as an air supply in speech production. The vocal folds in the larynx either convert the air flow from the lungs into series of puffs by vibrating or allow the air pass through larynx freely to the vocal tract (oral, nasal, and pharyngeal cavities). The movements of articulatory organs (tongue, pharynx, palate, lips, and jaw) modify the resonance characteristics of the vocal tract by changing its shape. Consequently, the spectrum of the speech acoustic signal is modified.

The key question in speech perception research is how the significant information is extracted from the acoustic speech signal. Theories of speech perception fall roughly into two categories (Diehl et al., 2004): (1) those assuming that speech sounds are mapped into speech-specific (e.g., motor) representations (Liberman et al., 1967; Liberman and Mattingly, 1985), thus making processing of speech sounds radically different from that of non-speech sounds, and (2) theories that posit the same mechanisms to be responsible for processing of both speech and non-speech acoustic signals (Fowler, 1996; Massaro, 1998). Furthermore, speech perception theories can be classified on the basis of the assumed *objects* of speech perception. Some theories assume that (1) the talker's articulatory gestures are the objects of speech perception (Liberman et al., 1967; Liberman and Mattingly, 1985; Fowler, 1996), whereas others consider (2) the acoustic speech signals as the objects of perception (Diehl and Kluender, 1989; Massaro, 1998; Kuhl, 2000).

The motor theory of speech perception assumes that speech signal is mapped into the motor representations of intended articulatory gestures (Liberman et al., 1967; Liberman and Mattingly, 1985). Speech perception is supposed to be inherently linked to speech production. The same motor representations are used, when we produce speech and when we perceive speech produced by others. This is supported for example by the fact that the phonetic categories do not correspond strictly the acoustic properties of phonemes (e.g., phoneme /d/ is acoustically very different in syllables /di/ and /du/), but rather the articulatory gestures, which modify the acoustic speech signal (e.g., /d/ is produces similarly in /di/ and /du/ contexts). The categorical perception of speech sounds is assumed to be a result of speech-specific perceptual mechanisms. The motor theory also claims that speech perception is *special*, meaning that a specialized innate speech module (that is unique to humans) is responsible for speech perception.

In contrast, according to *the direct realist theory*, speech perception is not special (Fowler, 1986, 1996). Listeners perceive speech and door slams by using the same perceptual mechanisms. According to this theory we perceive (directly) the events in our environment that have caused the structure of media (e.g., acoustic speech signal), to which our sense organs are sensitive, rather than the media itself. Thus, to perceive speech is to perceive articulatory gestures, which change the shape of the vocal tract and consequently the spectrum of the acoustic speech signal.

Many researchers have adopted a "general approach" to speech perception (Diehl and Kluender, 1989; Massaro, 1998; Kuhl, 2000). They argue that speech is processed by the same mechanisms as other complex sounds and that speech perception is not mediated by perception of articulatory gestures (as the motor and direct realist theories assume). This approach is supported by results showing that both speech and nonspeech sounds can be perceived categorically (Stevens and Klatt, 1974; Pisoni, 1977). Furthermore, there is evidence that even nonhuman animals are able to perceive speech sounds categorically (Kuhl and Miller, 1975, 1978), suggesting that general auditory mechanisms (common to humans and nonhuman animals) contribute to the categorical perception of speech sounds. Perceptual learning is, however, assumed to affect speech perception. For example, Kuhl (2000) has proposed that we learn the perceptual characteristics of our native language by detecting patterns and extracting statistical information from our auditory environment during early development. These experiences reshape our perceptual space in such a way that it is compressed around the phonetic prototypes of our native language.

Neural basis of speech perception

Sounds are transformed to the neural code at the cochlea. This information reaches the auditory regions in the superior temporal cortex via subcortical nuclei (the superior olive, inferior colliculus, medial geniculate body). The primate auditory cortex consists of "core", "belt" and "parabelt" regions, which process acoustic information hierarchically (see Figure 2.1.; for reviews see Kaas and Hackett, 2000; Rauschecker and Tian, 2000). The auditory core receives input from subcortical structures and projects it to surrounding belt regions. The auditory parabelt receives input from the belt. Both belt and parabelt project to multisensory regions in frontal

(Hackett et al., 1999; Romanski et al., 1999), and temporal lobes (e.g., upper and lower banks of the STS) (Hackett et al., 1998), which receive input from other sensory systems as well. Functional studies have revealed that processing of acoustic features becomes increasingly specialized as information flows from lower to higher levels of auditory cortex (for a review, see Rauschecker and Tian, 2000). In the auditory core, neurons are tonotopically organized and they respond vigorously to pure tones (see, however, Nelken et al., 2003). In the lateral belt region, neurons are more specialized and they respond selectively to, for instance, band-passed noise bursts, frequency-modulated sweeps and monkey calls (Rauschecker et al., 1995). The functional properties of the parabelt neurons are not yet well understood.



Figure 2.1. Cortical auditory regions in the macaque brain (viewed from the left). (*A*) The approximate location of the parabelt region on the superior temporal gyrus (STG) (dashed orange line). (*B*) The same brain as in *A* after removal of part of the parietal cortex (dashed black line). The auditory areas within the lateral sulcus (LS) are now visible: the core region (solid red line), the belt region (dashed yellow line), and the parabelt region (dashed orange line). AS, arcuate sulcus; CS central sulcus; INS, insula; STS, superior temporal sulcus. Adapted from Kaas and Hackett, 2000.

The anatomical and functional organization of the human auditory cortex is likely to be similar to that of the macaque auditory cortex (see, e.g., Zatorre et al., 1992; Howard et al., 1996; Binder et al., 2000; Howard et al., 2000). The human homologue of the auditory core, i.e., the primary auditory cortex (PAC, BA 41), is located in the medial portion of the Heschl's gyrus (HG) (Galaburda and Sanides, 1980).

When it comes to the speech processing in the human auditory stream, the fundamental questions in speech perception research are: (1) Is acoustic speech signal processed (at least partly) by specialized neural mechanisms or is it processed

completely by the same auditory mechanisms as other complex sounds? (2) If there are specialized mechanisms for speech, at which processing stage do they exist?

Neuroimaging studies have attempted to find speech-specific auditory mechanisms by comparing responses to speech *vs.* non-speech sounds (Demonet et al., 1992; Zatorre et al., 1992; Mummery et al., 1999; Binder et al., 2000; Scott et al., 2000; Vouloumanos et al., 2001; Narain et al., 2003). These studies have consistently demonstrated that haemodynamic responses are greater for (meaningful and meaningless) speech than to non-speech sounds in the left STG/STS. These findings suggest that neuronal systems responsible for the sub-lexical analysis of speech sounds are located at a relatively late level of auditory processing stream in the secondary auditory cortex (in STG) and/or in the multisensory regions (in STS).

Comparison of brain activity elicited by speech and non-speech sounds that are *acoustically* different is, however, problematic. It cannot be ruled out that any observed differences in response are due to differences in acoustic features. It is possible that the left STG/STS region is not involved in speech-specific processing *per se*, but rather in processing of complex acoustic features that are characteristic of speech sounds. Consistent with this interpretation, there is evidence that the left STG/STS is specialized for processing the rapid time-varying acoustic features characteristic of consonant sounds (Zatorre and Belin, 2001; Jäncke et al., 2002; Joanisse and Gati, 2003). In sum, it has remained open (1) whether the putative speech-specific regions are responsible for processing of acoustic features of the speech signal or (2) whether these regions contain speech-specific (e.g., articulatory-gestural or acoustic-phonetic) representations into which acoustic signal is mapped during speech perception (but not during non-speech perception).

Many electrophysiological and neuromagnetic studies on speech perception have focused on the mismatch responses, which are elicited by infrequent *deviant* sounds presented among frequent standard sounds (for reviews, see Näätänen, 2001; Näätänen et al., 2001). A mismatch response (i.e., mismatch negativity, MMN, or mismatch field, MMF) is generated in the auditory cortex typically 100-200 ms after sound onset (Hari et al., 1984). Since the mismatch response is elicited when subjects do not attend to the sound sequence, it is assumed to be generated by pre-attentive change-detection mechanisms (Alho et al., 1992: see also Jääskeläinen et al., 2004). However, it is elicited also when subject actively attend to the stimuli. Several studies (e.g., Dehaene-Lambertz, 1997; Rinne et al., 1999; Sharma and Dorman, 1999; Phillips et al., 2000) have shown that deviants, that are phonetically and acoustically different from standards, elicit larger mismatch responses (usually 100-150 ms after sound onset in the left hemisphere) than deviants, that are only acoustically different from standards (for conflicting evidence see, however, Sams et al., 1990 and Sharma et al., 1993). Furthermore, subjects' language background affects the size of mismatch response to speech sounds: acoustic differences which are phonetically relevant in subjects' native language elicit larger mismatch responses in the left hemisphere than phonetically irrelevant acoustic changes (Näätänen et al., 1997; Winkler et al., 1999). On the basis of the above-mentioned findings it has been proposed that relatively lowlevels of auditory cortex (~ left posterior STG) would contain phonetic memory traces, which are accessed as early as 100–150 ms after acoustic stimulus onset (Näätänen et al., 1997; Rinne et al., 1999; Näätänen, 2001).

The hypothesis, derived from the motor theory of speech perception, that speech perception would use the neural mechanisms of speech production, has gained support recently. Several studies have shown that hearing speech modulates activity in the primary motor cortex (M1) of the human brain (Fadiga et al., 2002, Watkins et al., 2003, Wilson et al., 2004). For example, recent transcranial magnetic stimulation (TMS) studies demonstrate that motor evoked potentials (MEPs) recorded from articulatory muscles to TMS of the left M1 are enhanced during listening to speech (Fadiga, 2002; Watkins et al., 2003). These findings provide direct evidence that heard speech is simulated in the "speech production" system. The human M1 is considered to be a part of the mirror neuron system (MNS) which provides a link between action execution and perception (Hari et al., 1998). In monkeys, mirror neurons in area F5 of the premotor cortex are activated both when the monkey performs hand and mouth actions and when it sees actions made by others (di Pellegrino et al., 1992; Ferrari et al., 2003). Moreover, action-related sounds activate a subpopulation of these neurons (Kohler et al., 2002; Keysers et al., 2003). Similar mirror neurons seem to exist also in the human brain in a neuronal circuitry that comprises at least Broca's area, the premotor regions, and the primary motor cortex. These areas form the MNS which is also closely connected to STS region and the inferior parietal lobule (Fadiga et al., 1995; Hari et al., 1998; Nishitani and Hari, 2000; Buccino et al., 2001; Nishitani and Hari, 2002). Mirror neurons might provide a neural substrate for embodied simulation of other persons' actions, likely to be important in interpersonal communication (Gallese et al., 1996; Gallese and Goldman, 1998; Rizzolatti et al., 2001). Specifically, the human MNS might play a specific role in speech communication by aiding the recognition of other people's articulatory gestures through embodied simulation.

Both Hickock and Poeppel (2000, 2004) and Scott and colleagues (Scott and Johnsrude, 2003; Scott and Wise, 2004) have proposed that parallel ventral and dorsal streams would be responsible for mapping the acoustic speech signal into acoustic-phonetic (i.e., non-gestural) and articulatory-based (i.e., gestural) representations, respectively. This view has been derived from functional organization of the visual system, which consists of parallel ventral and dorsal streams. The ventral stream of the auditory system is assumed to subserve understanding of meaningful speech, whereas the dorsal stream is assumed to provide a link between speech perception and production.

The exact anatomical locations of these two speech-processing streams differ in models proposed by Hickock and Poeppel and by Scott and colleagues. According to

Scott and colleagues (2004) the ventral (anterior) stream, responsible for mapping acoustic-phonetic cues onto lexical representations, contains the anterior STG/STS regions, which have connections with the ventro- and dorsolateral frontal cortex (e.g., Broca's area). The dorsal (posterior) stream, responsible for mapping acoustic input onto articulatory-gestural representations, contains the posterior STG/STS regions which are connected with the dorsolateral frontal cortex (e.g., premotor cortex). In contrast, Hickock and Poeppel propose that conceptual analysis of speech sounds occurs in the posterior parts of the temporal lobe, and articulatory-based analysis takes place in the posterior Sylvian fissure connected with the frontal lobe.

Seeing Speech

Intelligibility of seen speech

Viewing a talker's articulatory gestures allows the observer to understand speech to some extent (for reviews, see Dodd and Campbell, 1987; Campbell et al., 1998). The ability to *speechread* can vary a lot across observers. Hearing-impaired and deaf people are typically (but not always) very skillful speechreaders, although normal-hearing people are able to speechread as well. Since only some articulatory movements are visible, visual speech does not contain as much information as acoustic speech.

Figure 2.2 depicts results from a speechreading experiment in 10 Finnish speaking subjects carried out in our laboratory (Möttönen et al., 2000). Subjects had normal hearing and vision and they did not have any speechreading training or special experience related to it. The matrices in Figure 2.2 present the response distributions (columns) to each stimulus (rows). Only three (/p/, /v/, /l/) out of 12 consonants were identified correctly over 90 times out of 100 presentation times. However, the responses to the other consonants were not randomly distributed across response alternatives. There were confusions between consonants which share the same place of articulation, but not between consonants which are articulated at clearly different places. For example, bilabials /m/ and /p/ were frequently confused with each other but not with labiodentals (/v/), dentals (/s/, /t/, /d/, /n/, /r/, /l/), palatals (/j/), velars (/k/) or larynguals (/h/). The vowels were rather well identified. There are however frequent confusions between $/\alpha$ / and /e/ as well as between /u/ and /y/, which differ from each other with respect to front-back feature. These results demonstrate that normal hearing subjects are able to extract phonetic information from seen articulatory gestures.

A. Consonants

	RESPONSES												
		m	р	v	s	t	d		n	r	k	j	h
	m	8	91				1						
	р	6	94										
	v		1	97	2	0							
	s				83	10	3		1	2		1	
-	t			1	50	37	3		4	3	1	1	
Į	d					2	16	24	13	24	3	17	
Ē	Ι					2	1	92	1	0	3	1	
S	n					5	16	34	20	12	2	10	1
	r					9	12	45	9	16	0	9	
	k					1	1	21	7	3	54	10	3
	j				3	23	7	7	9	5	4	42	
	h						1	4		1	1	9	83

B. Vowels

	RESPONSES									
		а	æ	е	i	0	ø	u	у	
	а	99			1					
	æ	6	60	34						
-	e		7	88	5					
Ŋ	i			22	78					
É	0					88	7	5		
S	Ø					8	82	4	6	
	u					5	10	59	26	
	У					1	7	57	35	

Figure 2.2. Results of a speechreading experiment in 10 subjects. The stimulus set consisted of 12 different consonants, presented in /a/-consonant-/a/ context, and 8 vowels. The video clips of the articulations (with and without sound), produced by a female Finnish speaker, were presented to each subject in random order. Each stimulus was repeated 10 times. The subjects reported which consonant/vowel they had perceived after each video clip. The upper and lower parts of the Figure show the response patterns (columns) to each consonant and vowel stimulus (rows). The sum of responses on each row equals to 100 (10 repetitions x 10 subjects). Grey areas show the clusters of consonants/vowels (obtained by hierarchical cluster analysis), which were perceptually close to each other. The lower part of the Figure is modified from Möttönen et al., 2000.

Neural basis of seeing speech

Light is transformed to the neural code in the retina. The optic nerve conveys this information to the lateral geniculate nucleus, which projects to the primary visual cortex (V1) in the occipital lobe (see, e.g., Kandel et al., 1991). There are two large-scale streams of visual processing originating from V1: a dorsal stream towards the parietal cortex, and a ventral stream towards the temporal lobe. These two streams of often called "where" and "what" streams according to the type of information they extract from visual signals (Ungerleider and Mishkin, 1982). Visual speech information is primarily processed by the ventral "what" stream.

Calvert et al. (1997) were the first to study neural basis of speechreading using fMRI. The normal hearing subjects observed a face articulating numbers and were

instructed to rehearse them silently. The visual speech signals were found to activate a widely distributed network of brain areas in the occipital and temporal lobes. The main finding was that even auditory regions were also robustly activated by visual speech. Activity was observed in the posterior parts of the STG/STS and in the HG, where the PAC is located. Several later studies have replicated the finding that visual speech has access to the auditory regions in the posterior superior temporal cortex (MacSweeney et al., 2000; Campbell et al., 2001; Calvert and Campbell, 2003; Santi et al., 2003; Pekkola et al., in press). Some of these studies have found activity also in the HG (MacSweeney et al., 2000; Calvert and Campbell, 2003; Pekkola et al., in press), however, some others have failed to see such activity during speechreading (Bernstein et al., 2002; Paulesu et al., 2003). Furthermore, it has been shown that the left superior temporal cortex is activated by still images of visual speech (Calvert and Campbell, 2003) and by purely kinematic visual speech signals ("point-light stimuli"; Santi et al., 2003).

Since the superior temporal region (especially STS) is known to be activated during observation of various kinds of biological movements (for a review, see Allison et al., 2000), it is important to compare activation elicited by articulatory movements and other types of biological movements in order to find out whether these regions contain neural substrate for extracting speech-specific features from visual signals. The non-speech (gurning) movements do not activate the left STG/STS as extensively as articulatory gestures, suggesting that this region would be specialized for speechreading (Calvert et al., 1997; Campbell et al., 2001). In agreement with this view, walking point-light stimuli do not activate the left STG/STS like visual speech point-light stimuli do (Santi et al., 2003).

Interestingly, seeing speech does not seem to activate the left STG/STS in congenitally deaf people as robustly as in normal hearing subjects (MacSweeney et al., 2001). This finding suggests that experience about auditory (and audiovisual) speech signals is necessary for the recruitment of STG/STS to speechreading.

In sum, there is converging evidence that visual speech has access to the human auditory cortex (STG and HG) during silent speechreading. But from which brain regions visual input is projected to the auditory cortex? There are at least three plausible sources: 1) the higher-order multisensory brain regions (such as STS) 2) the V1 or other visual regions 3) the subcortical structures. The most likely route from V1 to auditory cortex is via multisensory regions in the STS. It has been proposed that the STS would bind acoustic and visual speech signals and modulate back-projections to the auditory cortex during audiovisual speech perception (Calvert et al., 2000). This mechanism could be responsible for sending visual input to the auditory cortex also during observation of visual speech (without acoustic input). Also, single cell recordings in the monkey posterior auditory cortex (~belt region) support this route (Schroeder and Foxe, 2002; Schroeder et al., 2003). The responses to visual stimuli in auditory-cortex neurons are surprisingly early (~50 ms from stimulus onset) and have

feed-back laminar response profile, which suggests that visual input was projected from higher-level cortical region, for instance, STS. (Note that the visual stimuli in these recordings were flashes of light, thus very simple visual stimuli have access to the auditory-cortex neurons in monkeys.) Currently, there is no evidence about direct pathways from V1 to the auditory cortex. However, retrograde trace studies in cats have shown that auditory cortex (~parabelt region) projects directly to the visual cortex, including V1 (Falchier et al., 2002; Rockland and Ojima, 2003). Thus, it can be just a matter of time when the pathways to the opposite direction are found. The subcortical structures are also plausible candidates for sources of visual input, because many of them function as relay areas for both acoustic and visual signals. However, there is currently no direct evidence of subcortical structures projecting visual input to the auditory cortices.

Numerous studies to date have demonstrated that also frontal regions (Broca's area, the premotor cortices and M1) are activated during seeing speech in normal hearing subjects (Campbell et al., 2001; Nishitani and Hari, 2002; Callan et al., 2003; Calvert and Campbell, 2003; Paulesu et al., 2003; Santi et al., 2003). Furthermore, in a recent TMS study seeing speech modulated functioning of the primary motor cortex MI, specifically its mouth area in the left hemisphere (Watkins et al., 2003). These findings are in agreement with the hypothesis that seen articulatory gestures are internally simulated in MNS during speechreading.

Integration of heard and seen speech

Psychophysical evidence

In everyday conversations, we typically hear talkers' voice and see their articulatory gestures simultaneously. The visual speech information improves the intelligibility of acoustic speech, when there is background noise (Sumby and Pollack, 1954) or the content of speech is semantically difficult (Reisberg et al., 1987).

Figure 2.3 shows results from a speech recognition experiment that was carried out in our laboratory in 20 normal hearing subjects (Möttönen, 1999). Meaningless acoustic vowel-consonant-vowel stimuli were presented both alone and with concordant visual speech. Noise was added to acoustic stimuli in order to acquire four signal-to-noise-ratio (SNR) levels: 0, -6, -12, and -18 dB. In each subject, the proportion of correctly recognized audiovisual speech stimuli was greater than that of acoustic speech stimuli at all SNR levels. The benefit of visual information was the greater the lower the SNR of acoustic speech. The results demonstrate that normal hearing subjects use both acoustic and visual information in order to recognize speech.



Figure 2.3. Proportions of correctly identified acoustic and audiovisual speech items at different signal-to-noise-ratio levels (0, -6, -12 and -18 dB) in 20 subjects. The stimulus set consisted of 39 vowel-consonant-vowel words produced by a native Finnish speaker. Each acoustic stimulus was presented alone and together with visual articulation. Modified from Möttönen, 1999.

Another clear indication of the use of both acoustic and visual information during speech perception is the McGurk effect (McGurk and MacDonald, 1976). It shows that phonetically conflicting visual information can be integrated with highly intelligible acoustic signal and modifies its perception. McGurk effect is named according to Harry McGurk, who coincidentally found that auditory syllable /ba/ dubbed with visual articulatory gestures of /ga/ was *heard* as /da/. Summerfield and McGrath (1984) found that visual information can change the auditory perception of vowels, too. Interestingly, a strong McGurk effect can be produced by even temporally asynchronous (Green, 1996; Massaro et al., 1996; Munhall et al., 1996) and spatially disparate acoustically and visual speech inputs (Jones and Munhall, 1997).

Early or late integration?

A number of models have been proposed to explain how the acoustic and visual speech inputs are combined (for reviews, see Summerfield, 1987; Massaro, 1998; Massaro and Stork, 1998; Robert-Ribes et al., 1998; Massaro, 2004). The main challenge of these models is to explain in what kind of common representational space the integration occurs. The models can be divided roughly into two categories according to the assumed level of integration (Schwartz et al., 1998): (1) The early integration models assume that audiovisual integration occurs before the level of

phonetic categorization. (2) The late integration models assume, in contrast, that acoustic and visual speech inputs are processed in isolation up to the phonetic level.

The speech perception theories are primarily developed to explain processing of *acoustic* speech signals. The contribution of visual input to auditory speech perception provides a challenge to these theories.

The theories according to which speech perception is mediated by perception of articulatory gestures can quite efficiently explain audiovisual integration of speech. The motor and direct realist theories assume that acoustic and visual sensory inputs are combined in an amodal (gestural) representational space. According to the motor theory both acoustic and visual speech inputs are mapped into the same motor representations of the vocal tract gestures (Liberman et al., 1967; Liberman and Mattingly, 1985). Thus, audiovisual integration can be seen as a natural consequence of *special* speech processing. In a similar vein, since the vocal tract gestures are the objects of speech perception according to the direct realist theory (Fowler, 1986; 1996; 2004), it is obvious that both acoustic and visual signals from the same gestures contribute to speech perception.

The theories, according to which *acoustic features* rather than articulatory gestures are the objects of speech perception, emphasize the dominance of acoustic speech in normal speech perception (e.g., Diehl and Kluender, 1989). However, supporters of these theories acknowledge also the effect of visual speech on auditory phonetic categorization in some specific cases. Diehl and Kluender (1989) assume that during audiovisual speech perception both visual and acoustic speech inputs are categorized phonetically and the integration occurs (late) at a post-phonetic level. The link between acoustic and visual speech signals is assumed to be formed through perceptual learning.

Massaro (1998, 2004) considers audiovisual speech perception as a case of pattern recognition in which several sources of information from different sensory systems contribute to the perceptual outcome. The Fuzzy Logical Model of Perception (FLMP) describes how pattern recognition occurs by a statistically optimal integration rule. This integration rule is assumed describe integration of any sensory inputs, not only integration of acoustic and visual speech. FLMP is a late integration model, because it assumes that different sensory inputs are "evaluated" separately, before the level of integration. In the case of audiovisual speech perception, acoustic and visual inputs are compared to phonetic prototypes at an evaluation level.

Neural mechanisms of multisensory processing

Audiovisual speech perception is just one example of multisensory processing. Nowadays it is widely acknowledged that sensory systems do not function completely independently from each other (Stein and Meredith, 1993; Calvert et al., 2004). In the natural environment, we receive information about the objects around us via different senses. For example, a singing bird can be both seen and heard. Typically, these kinds of multimodal objects are detected, localized and identified more rapidly and accurately than objects, which are perceived via only one sensory system (see, e.g., Welch and Warren, 1986; De Gelder and Bertelson, 2003). The central nervous system (CNS) thus seems to be able to integrate sensory inputs mediated by different sense organs.

Single cell recording studies in non-human mammals have found multisensory neurons which are activated by inputs mediated by multiple sense organs. These kinds of neurons have been found at various levels of CNS: (1) In the subcortical structures (e.g., the superior colliculus, Stein and Meredith, 1993; Stein et al., 2004), (2) in the sensory-specific cortices (e.g., auditory belt and parabelt, Schroeder and Foxe, 2002; Schroeder et al., 2003), and (3) in the association cortices (e.g., anterior and posterior regions of STS, for a review, see Cusick, 1997). These findings support the view that convergence of different sensory inputs to the same neurons enables interaction between sensory modalities.

The most thoroughly studied multisensory neurons are located in the mammalian superior colliculus (SC) (Stein and Meredith, 1993; Stein et al., 2004), which is a subcortical structure thought to be involved in orientation and attentive behaviours. Some of the multisensory neurons in SC are able to integrate inputs from different sensory systems: two stimuli presented in the same location at the same time produce *response enhancement* in these neurons. The response to two simultaneous stimuli typically exceeds the sum of responses to the same stimuli presented separately. The enhancements tend to be the stronger the weaker the unimodal stimuli; this principle is called *inverse effectiveness*. In contrast, two stimuli presented in different locations (or at different times) produce *response suppression* in these neurons.

Surprisingly little is known about how the simultaneous sensory inputs from different senses *interact* in cortical neurons (see, e.g., Meredith, 2004). There is however evidence that some cortical multisensory neurons would behave quite differently than SC neurons during multisensory stimulation. For example, neurons in the area SIV of the cat somatosensory cortex are activated strongly by tactile stimuli, but not by auditory stimulation alone. However, auditory-tactile stimuli produce a smaller response than tactile stimuli alone in these neurons (Dehner et al., 2004). Thus, in addition to excitatory-excitatory form of convergence (demonstrated in SC neurons) there exists also excitatory-inhibitory form of multisensory convergence.

The properties of the SC multisensory neurons have influenced enormously on the methodology of brain imaging studies which have attempted to find multisensory integration mechanisms in the human brain. First, the multisensory integration mechanisms are studied by comparing responses to multisensory stimuli with the sum of responses to unimodal stimuli, i.e., "predicted responses" (Calvert et al., 1999; Giard and Peronnet, 1999; Calvert et al., 2000; Fort et al., 2002a, b; Molholm et al., 2002). The underlying assumption of this "additive model" is following: if the multisensory responses differ from the "predicted" responses, *multisensory integration* has taken place. Second, several studies have applied the inverse effectiveness rule to brain imaging data and assumed that degraded unimodal stimuli are integrated more efficiently than clear ones (Callan et al., 2001; Callan et al., 2003; Callan et al., 2004). A third method is to compare responses to *congruent* and *incongruent* multisensory stimuli (by manipulating, e.g., semantic, temporal or spatial properties of the stimuli) (Calvert et al., 2000; Calvert et al., 2001; Macaluso et al., 2004). This approach allows studying selectively the sensitivity of integration mechanisms to a specific feature of the multisensory stimuli.

Audiovisual speech processing in the human brain

The key questions of neurophysiological research of audiovisual speech perception are: (1) Where and (2) when in the human CNS acoustic and visual speech inputs are integrated? (3) What kinds of integration mechanisms are responsible for the improved intelligibility of audiovisual speech and for the McGurk effect?

Sams et al. (1991) were the first to study neural basis of the McGurk effect. They recorded neuromagnetic mismatch responses to audiovisual speech stimuli which gave rise to McGurk effect. The mismatch responses are typically elicited by occasional acoustical changes in the sound sequence (for a review, see Näätänen et al., 2001). The obvious question which arises is whether these responses are elicited also when there is no *acoustical* change in the sound sequence, but a subject perceives an illusory auditory change due to McGurk effect. In order to answer this question Sams and colleagues presented infrequent incongruent audiovisual stimuli (acoustic /pa/ and visual /ka/) that were heard as /ta/ or /ka/ among frequent congruent syllables (acoustic /pa/ and visual /pa/) and measured neuromagnetic responses over the left hemisphere. The infrequent (deviant) stimuli were thus acoustically identical with the standard stimuli, but they were perceived to be acoustically deviant from the standard stimuli. This kind of deviant stimuli elicited mismatch responses peaking at 180 ms in the left supratemporal auditory cortex. This finding showed, for the first time, that visual speech modulates activity in the auditory cortex during audiovisual speech perception.

Modulated activity in the sensory-specific cortices during audiovisual binding has been demonstrated also by using fMRI. BOLD responses in the auditory cortex (BA 42/41) as well as in the visual motion cortex (V5/MT) are enhanced during audiovisual speech perception in comparison to the sum of responses to auditory or visual speech stimuli (Calvert et al., 1999; Calvert et al., 2000).

There is also evidence that multisensory STS region plays a role in audiovisual integration of speech. Calvert et al. (2000) showed that observing synchronous meaningful audiovisual speech enhances haemodynamic responses in the posterior parts of STS in comparison to the sum of responses to acoustic and visual speech observed separately. Observing asynchronous audiovisual speech decreased

haemodynamic responses in the left STS. Accordingly, Macaluso et al. (2004) found that left STS is sensitive to temporal synchrony, but not to spatial disparity, of acoustic and visual speech inputs. In contrast, (Olson et al., 2002) failed to see modified activity in the STS region during perception of synchronized *versus* desynchronised audiovisual words. The left claustrum was the only brain region which showed differential responses to two types of audiovisual stimuli in their study.

Callan et al. (2001; 2003; 2004) have explored neural correlates of *enhanced perception* of audiovisual speech. It is well known that the perceptual enhancements due to audiovisual integration are greatest when auditory speech signals is degraded (Sumby and Pollack, 1954; Erber, 1969). The fMRI study of Callan et al. (2003) showed that activity in the STG/STS regions to audiovisual speech is enhanced when noise is added to the auditory signal. Similarly, an EEG study showed that the left superior temporal cortex generates high-frequency oscillations (45–70 Hz) at 150–300 ms after audiovisual speech stimuli presented in noise (Callan et al., 2001). These findings suggest that multisensory neurons in STG/STS region would obey the inverse effectiveness rule stating that the response enhancement to multisensory stimulation is greatest when the unimodal stimuli are least effective.

In sum, there is evidence that activity in subcortical structures and in the sensory-specific and multisensory cortical regions is modulated during binding of audiovisual speech, suggesting that multiple levels of the human CNS would play a role in audiovisual speech integration. However, little is known about the time course of these modulations. It has been proposed that acoustic and visual speech inputs would be first integrated in the high-level multisensory cortical regions (such as STS) and that the activity modulations in the sensory-specific cortices would be caused by back-projections from these higher-level multisensory integration sites to sensory-specific cortices (Calvert et al., 2000; Bernstein et al., 2004). According to an alternative view, the inputs from different senses start to interact at low levels of CNS (in the sensory-specific cortices and/or in the subcortical structures) independently of the high-level multisensory cortices (Ettlinger and Wilson, 1990; Schroeder and Foxe, 2004).

Chapter 2: Brain research methods used in the study

This study consists of five experiments in which electroencephalography (EEG, Berger, 1929; Niemermeyer and Da Silva, 1999), magnetoencephalography (MEG, Cohen, 1968; Hämäläinen et al., 1993) and functional magnetic resonance imaging (fMRI, Belliveau et al., 1991; Jezzard et al., 2001) were used to investigate neural basis of hearing and seeing speech. These non-invasive brain research methods provide complementary information about the human brain activity underlying various sensory and cognitive processes. EEG and MEG measure directly electric potentials and neuromagnetic fields generated by neural currents, providing information about the brain activity with millisecond accuracy. The haemodynamic responses measured by fMRI do not provide accurate information about the timing of brain activity. However, fMRI is superior to both EEG and MEG in terms of spatial resolution.

The MEG and EEG section below is largely based on the review articles of Hämäläinen and colleagues (1993) and Hari (1999). The fMRI section is largely based on the book by Jezzard and colleagues (2001).

Electro- and magnetoencephalography (EEG and MEG)

Generation of electric potentials and neuromagnetic fields

The brain is made up of enormous number of neurons ($\sim 10^{11}$) and glial cells ($\sim 10-50^{12}$) (see, e.g., Kandel et al., 1991). Communication between neurons results in tiny electric currents. When a population of parallel neurons is active synchronously the electric currents sum up and electric potentials and electromagnetic fields become detectable by EEG and MEG, respectively, outside the head.

Figure 3.1 depicts a pyramidal neuron which is a typical neuron in the cortex. It consists of a soma, afferent dendrites and an efferent axon. The ion channels and pumps in the cell membrane change the electrical properties of the neuron (see, e.g., Kandel et al., 1991). In the resting state, there are relatively more ions with a negative charge inside than outside the cell as a result of active work of ion pumps. Due to this imbalance the potential difference between inside and outside the cell is about -70 mV. The neurons communicate with each other via synapses: (1) An axon potential arrives to the axon terminal of a presynaptic neuron, (2) the presynaptic neuron releases transmitter molecules to the synaptic cleft, (3) these transmitters are bound to receptors located in the dendrites of a postsynaptic neuron, (4) as a consequence the membrane potential of the postsynaptic neuron changes. (5) Finally, simultaneous

postsynaptic potentials trigger an axon potential in the postsynaptic neuron, if a threshold is exceeded. (A postsynaptic membrane depolarization and hyperpolarization are called on excitatory and inhibitory postsynaptic potentials, respectively). EEG and MEG signals are mainly produced by synchronously occurring postsynaptic potentials in pyramidal neurons. The currents related to axon potentials are so short that they do not usually contribute to the EEG and MEG signals.



Figure 3.1. Schematic illustration of a neuron. Modified from (Kandel et al., 1991).

The electric potentials measured by EEG and neuromagnetic fields measured by MEG are generated by the same neural currents. Figure 3.2 shows distribution of electric potentials on the scalp and a magnetic field map produced by neural currents in the left auditory cortex, which are mainly tangential with respect to the surface of the head. The electric and magnetic dipolar patterns are rotated by 90 degrees with respect to each other.

In addition to many similarities there are some important differences between EEG and MEG: First, the measurement of neuromagnetic signals is reference-free, whereas the electric potentials are measured with respect to a reference electrode. Thus, the place of the reference electrode alters the distribution of electric potentials on the scalp. Second, the inhomogeneities of the scalp, the skull, the cerebrospinal fluid affect the electric potentials measured outside the head, whereas those are "transparent" to magnetic fields. Consequently, the source modeling of the MEG signals is easier than that of EEG signals. Third, EEG and MEG are not equally sensitive to the orientation and deepness of the cerebral currents. MEG detects optimally the magnetic fields produced by tangential current sources. Fortunately, major proportion of the cortex is located in the fissures, in which the orientation of the neurons is tangential with respect to the surface of the head. The sources perpendicular to the head surface do not produce magnetic fields outside the head, and consequently MEG is "blind" to these sources. EEG is sensitive to both tangential and radial neural currents. Furthermore, EEG is more sensitive to the deep neural currents than MEG.



Figure 3.2. Potential distribution measured by 30 scalp electrodes (left) and a magnetic field map measured by 306-channel whole-scalp magnetometer (right) about 100 ms after sound stimulus onset. The 100-ms auditory response (N100(m)) is generated in the auditory cortices in the lower lip of the Sylvian fissure. In the scalp distribution (left) blue areas indicate negative potentials and red/yellow ones positive potentials. The reference electrode was in the nose. In the magnetic field map (right) the red areas indicate magnetic flux out of the head.

Measurement devices

The EEG signals are measured by electrodes which are attached to the scalp with conducting paste. The international 10–20 system is typically used to define the locations of the electrodes at the scalp (Jasper, 1958). The electrodes are connected by wires to an amplifier and to a recording computer. The signal from a certain electrode is a difference between electric potentials at that electrode and at a pre-defined reference electrode.

The neuromagnetic fields are measured with sensitive SQUID (superconducting quantum interference device) sensors immersed in liquid helium (at -269° C) (Zimmerman et al., 1970). The neuromagnetic fields are coupled into the SQUIDs through superconducting flux transformers. An axial gradiometer has two pick-up loops in the flux transformer and detects the maximum signal at the both sides of the current dipole (see Figure 3.3). In a planar gradiometer the two pick-up loops detect maximum signal just above the current dipole (see Figure 3.3).



Figure 3.4. Two types of pick-up coils used in neuromagnetic measurements. The axial gradiometer (left) measures the maximum signals at both sides of the current dipole. The planar gradiometer (right) measures the maximum signals above the current dipole. Modified from Hari (1999).

The neuromagnetic fields evoked by external stimuli are so tiny (~100 x 10^{-15} T) in relation to, for instance, the static magnetic field of the earth (10^{11} T) that they can be only picked up in a shielded room. Such a room typically consists of several layers of μ -metal and aluminum for the suppression of low- and high-frequency magnetic fields, respectively. In addition to passive shielding also active shielding can be used. In active shielding, compensation coils generate an appropriate magnetic field in order to cancel the external magnetic field.

Analysis methods

Due to the low SNR of the EEG and MEG raw data, a trial type of interest (e.g., an acoustic, visual or somatosensory stimulus) is repeated usually 50–500 times during the experiment (see, e.g., Picton et al., 1995). The data epochs acquired during the presentations of a certain trial type are typically averaged in order to improve SNR. The epochs containing artifacts produced by, for instance, eye movements and blinks are excluded on this stage of data analysis. Then, an appropriate pre-stimulus baseline is applied to the obtained event-related potentials and fields (ERPs and ERFs). The ERPs and ERFs are produced by the brain activity time- and phase-locked to the stimulus presentation. The spontaneous background activity of the brain and non-phase-locked oscillatory responses elicited by the stimuli do not contribute to them. In order to get information about the modulations of the oscillatory activity during the experiment, the data has to be analyzed in frequency domain before averaging.

The distribution of ERPs and ERFs over the scalp depends on the locations and orientations of the cerebral sources. However, it is not possible to determine the locations and orientations of the underlying sources unambiguously on the basis of the measured ERPs and ERFs. This problem is called an *inverse problem*. Different source configurations can produce identical potential/field distributions outside the

head. Due to the non-uniqueness of the inverse model sophisticated source modeling techniques have to be used in order to find the most probable source configuration.

The most common way to characterize local current sources is to model them with equivalent current dipoles (ECDs) having location, orientation and strength (Hämäläinen et al. 1993). An ECD can be found by minimizing the difference between the calculated and measured magnetic fields, e.g., by using a least-squares search (Kaukoranta et al., 1986). An alternative way to estimate the current sources is to calculate the most likely current distribution which explains the measured data (e.g., minimum norm estimates, Hämäläinen and Ilmoniemi, 1994). Minimum current estimate (MCE) is an implementation of the L1-norm estimate (Matsuura and Okabe, 1995) that explains the measured data with a current distribution that has the smallest sum of current amplitudes (Uutela et al., 1999). Calculation of MCEs does not require a piori assumptions about the source configuration. In order to determine the exact anatomical locations of the sources (estimated by either method), they are typically superimposed to MR images and/or their coordinates are transformed to Talairach coordinates (Talairach and Tournoux, 1988).

The source modeling of the EEG data is more inaccurate and laborious than that of MEG data due to the effect of different head structures on electric potentials measured outside the head. In practice, source modeling of EEG data requires an accurate volume conductor model of the subject's head including layers for brain, skull and scalp. Source modeling of the MEG data can also benefit from a conductor model with a realistic shape (Tarkiainen et al., 2003). However, since MEG data are not affected by different head structures, a simple spherical model is often accurate enough.

Functional magnetic resonance imaging (fMRI)

Blood oxygenation level dependent (BOLD)

MRI is a technique for creating pictures with high spatial resolution of the brain or other parts of the body. Thus, it enables non-invasive study of the anatomy of the living brain. Furthermore, specific MR images, such as T2*-weighted images, are also sensitive to blood flow and blood oxygenation level enabling indirect study of functioning of the brain. This is based on the assumption that the haemodynamic changes in the brain are coupled with the changes in neural activity (see, e.g., Logothetis et al., 2001).

The main method to measure haemodynamic changes in the brain by means of fMRI is to detect Blood Oxygenation Level Dependent (BOLD) effects (Ogawa et al., 1993). The increased synaptic activity within a specific brain region leads to increased oxygen consumption and to increased flow of oxygenated blood into this region.

Consequently, the relative amount of deoxygenated haemoglobin *decreases* within this region, because the increase in total oxygen delivery exceeds the increase in oxygen consumption. Since deoxygenated haemoglobin is paramagnetic, the change in the blood oxygenation leads to the change in the local distortion of a magnetic field. This change of distortion can be seen as a local intensity increase in BOLD images. BOLD signal changes in typical tissue voxels (3 x 3 x 3 mm) are about 0.5–3 percents at 1.5 T. A BOLD response elicited by, e.g., an auditory stimulus reaches its peak 5–7 seconds after the stimulus onset and returns to baseline after 10–12 seconds (Hall et al., 2000).

Measurement devices

The MRI system contains the magnet, the gradient coil and the radiofrequency coil. The magnet creates a strong (typically 1.5 or 3 T) and homogeneous magnetic field, which affects the orientation of the nuclei of the hydrogen atoms with a nuclear *spin* in the subject's body. The spins having a high-energy state are oriented against the applied field, whereas the spins having a low-energy state are oriented parallel to the applied field. A transition from a high-energy state to a low-energy state emits energy in the radiofrequency range, whereas a transition to an opposite direction requires energy. The gradient coils produce variations in the main magnetic field, which permit, e.g., localization of image slices. The radiofrequency coil is used to generate the oscillating magnetic field (i.e., a radiofrequency pulse), which causes transitions between the energy states of the spins. The same or different coil is used to receive the echo signal emitted by the spins returning to the low-energy state after a radiofrequency pulse.

The relaxation behaviour of the spins depends on their local environment. For example, T1 recovery time (i.e., longitudinal magnetization recovery time) is longer for the hydrogen nuclei of a water molecule in the tissue than for one in the cerebrospinal fluid. T2* relaxation time (i.e., transverse decay time constant) is particularly important for fMRI, because it is sensitive to the local field inhomogeneities produced, e.g., by deoxygenated haemoglobin. The parameters (the flip angle, time to echo (TE) and time for repetition (TR)) of a pulse sequence determine how the spins are excited. The different pulse sequences are used to generate MR images of different contrasts. BOLD contrasts are typically imaged by using fast sequences, which are optimized to measure T2* relaxation time. High-resolution anatomical images are typically T1-weigthed images.

Analysis methods

fMRI data is a set of serially acquired images, which consists of voxels. Preprocessing of the data involves typically slice-timing correction, motion correction, spatial smoothing, intensity normalization and temporal filtering (see, e.g., Jezzard et al., 2001 for details). The purpose of these pre-processing steps is to reduce artifacts in the data and to prepare it for statistical analysis.

The primary goal of the fMRI analysis is to determine in which voxels, if in any, the BOLD signal changes can be considered to be due to a certain stimulus or a task. The neurons in these "activated" voxels are considered to be involved in processing of the stimulus or in performing the task. Finding the "activated" voxels is challenging, because the number of voxels is enormous and the BOLD signal changes are small in relation to noise making the analysis vulnerable to Type I and II statistical errors, respectively.

The first step in statistical analysis of fMRI data is typically to build up a model for the expected time course of signal changes in the data (derived from the time course of experimental conditions). This model has to take into account the expected characteristics of the haemodynamic responses elicited by the stimuli or tasks of the experiment. Each voxel's data is fit separately to this model. The output of the fitting procedure is a statistical map, which describes how significantly data in each voxel is related to the model.

The next step is to threshold the statistical map in order to find out which brain areas were significantly activated. Thresholding is in practice a tricky procedure and there are several ways of doing it. Furthermore, the optimal choice of the statistical significance threshold depends often on the data set and the purpose of the experiment. Due to the enormous amount of statistical tests (carried out to each voxel separately), there is a risk to get many "false positives", if a conventional threshold is used (e.g., P < 0.01). Due to this "multiple-comparison problem" the significance level has to be corrected. Perhaps the most stringent way to do the correction is to use Bonferroni correction. For example, if 20 000 voxels were tested for at a significance of P < 0.01, the Bonferroni corrected *P*-value would be 0.0000005 (= 0.01/20 000). A less stringent and commonly used thresholding method is to create clusters of voxels and to test the significance of these clusters (not individual voxels) (Friston et al., 1994).

In order to assess mean activations across subjects or to compare subject groups single-subject data has to be aligned into a common space. The results of the groupanalysis are often reported in a standard brain space, e.g., in a Talairach co-ordinate system (Talairach and Tournoux, 1988), allowing the comparison across studies.

Chapter 3: Aims of the study

The aim of this thesis was to investigate neural mechanisms of seeing (Studies I & IV) and hearing (Studies IV & V) speech as well as interactions between heard and seen speech signals (Studies I–III) by using EEG, MEG and fMRI. The specific aims of Studies I–V were following:

Study I aimed at finding out whether change detection mechanisms in the auditory cortex distinguish between different visual speech stimuli presented without acoustic stimuli or whether interaction with acoustic speech stimuli is necessary for the detection of visual change in the auditory cortex.

Study II investigated the time courses of non-phonetic and phonetic interactions between acoustic and visual speech signals.

Study III investigated timing of audiovisual interactions in the auditory and multisensory cortices.

Study IV addressed the question whether the primary somatosensory cortex (SI) is involved in processing of acoustic and visual speech.

Study V aimed at finding out whether there are such speech-specific regions in the human brain which are responsible for speech perception irrespective of acoustic features of the speech stimulus.

Chapter 4: Experiments

This chapter presents the methods and results of Studies I–V. Furthermore, the main findings are briefly discussed; more detailed discussion of the findings can be found in Chapter 5. The first section presents the methodological issues related all studies. Then, the following sections focus on each study separately.

Summary of methods

Subjects

In all studies, subjects were healthy and they had normal hearing and vision (self reported). In studies I–IV, all subjects were native speakers of Finnish; in the Study V subjects were native speakers of English. All subjects gave their informed consent (either oral or written) to participate in the experiments. The principles of Helsinki Declaration were followed.

Stimuli

Speech stimulus material (see Table 1.) for studies I, II, V was recorded in a sound attenuated chamber with a professional video camera. Sound (Praat, Sound Forge) and video editing programs (Purple) were used to create appropriate stimulus files from the recorded material. The acoustic speech stimuli (.wav files) and visual speech stimuli (a sequence of bitmap files, 25 Hz) were presented with Presentation software. In study III synthetic acoustic, visual and audiovisual speech stimuli were produced by a Finnish talking head (Olivès et al., 1999; Möttönen et al., 2000). The acoustic stimuli were presented binaurally through headphones in Studies I, III and V, and through loudspeakers in Study II. An articulating face was presented on a computer monitor (Study II) or it was projected to the measurement room with a data projector (Studies I, III, V). In Study IV, an experiment was sitting in front of the subjects and read a book either aloud (acoustic speech) or articulating silently (visual speech).

In the *lip experiment* of Study IV, the lower lip was stimulated once every 1.5 s simultaneously with two balloon diaphragms driven by compressed air (Mertens and Lütkenhöner, 2000). The pressure of the 170-ms pulses, kept equal for all subjects, produced a sensation of brief touch. In the *hand experiment* of Study IV, the left and right median nerves were stimulated alternatingly at the wrists once every 1.5 s with current pulses (5–10 mA) that exceeded the motor threshold.

Table. 1.

Study	Subjects	Stimuli	Conditions/ Tasks	Method
Ι	n = 7* three females, one left- handed, 21-47 years	Audiovisual experiment: acoustic /ipi/ & visual /ipi/ (85%), acoustic /iti/ & visual /iti/ (5%), acoustic /ipi/ & visual /iti/ (5%), acoustic /ivi/ & visual /ivi/ (5%) Visual experiment: visual /ipi/ (85%) visual /iti/ (10%) visual /ivi/ (5%) Stimulus lengths:	Task: To count targets (/ivi/)	MEG; 306- channels
Π	n = 11 three females, right-handed, 21-27 years	ISIs: 1600 msAcoustic: /a/, /i/, /o/, /y/Visual: /a/, /i/, /o/, /y/Audiovisual:congruent (e.g. acoustic /a/ & visual /a/),incongruent (e.g. acoustic /a/ & visual /y/)Stimulus lengths:439–444 ms (acoustic), 780 ms (visual)ISI: 1700–2800 ms	<i>Task:</i> To press a button when the stimulus type changes	EEG; 32-channels
III	n = 8 two females, one left- handed, 21-31 years	Acoustic: /pa/ Visual: /pa/ Audiovisual: /pa/ Stimulus lengths: 250 ms (acoustic), 600 ms (visual) ISI: 1640–2570 ms		MEG; 306- channels
IV	Lip exp: $n = 8^{**}$, one female, right- handed, 23–30 years Hand exp: n = 8, one female, right- handed 22–26 years	Lip experiment: Tactile lip stimuli (170 ms) Hand experiment: Electric median nerve stimuli (0.2 ms) ISI: 1500 ms	<i>Conditions:</i> Rest, Viewing speech, Listening to speech, Mouth movement execution	MEG; 306- channels
V	n = 21, 9 females, right-handed, 18–36 years	Acoustic: Sine wave speech (SWS) replicas of /omso/ & /onso/, control sound Audiovisual: SWS /omso/ & visual /onso/ Stimulus lengths: 640 ms (acoustic), 700 ms (visual) ISI: 12–16 s	Conditions: Pre speech training, Post speech training Task: To categorize acoustic stimuli	fMRI; 3T

* Altogether 10 subjects participated in the audiovisual experiment. Data from two subjects were excluded from MEG data analysis because of the absence of the McGurk effect, and those of one subject because of extensive artefacts in the recordings. These subjects did not participate in the visual experiment.

** Three subjects participated in both lip and hand experiments.

Data acquisition

MEG data (Studies I, III and IV) were recorded with a 306-channel whole-scalp neuromagnetometer (Neuromag Vectorview, Helsinki Finland) at Low Temperature Laboratory, Helsinki University of Technology. Each of the 102 sensor elements of the device comprises two orthogonal planar gradiometers and one magnetometer. The device was placed in a magnetically shielded room with two aluminium and µ-metal layers and active noise compensation. Before the experiment, the positions of four marker coils, placed on the scalp, were determined in relation to three anatomical landmark points (the nasion and both preauricular points) with an Isotrak 3D-digitizer. This procedure allowed alignment of the MEG and MRI coordinate systems. Anatomical T1-weighted MRIs of subjects' brains were obtained with a 1.5 T scanner (Siemens, Erlangen, Germany) at the Department of Radiology, Helsinki University Central Hospital. (The MRIs were acquired from all subjects participating in Studies I&III, and from 8 out of 13 subjects participating in Study IV.)

The MEG signals were bandpass filtered at 0.1–172 Hz (0.06–100 Hz in Study I) and digitized at 600 Hz (300 Hz in Study I). Vertical and horizontal electrooculograms (EOGs) were monitored to reject all MEG epochs coinciding with blinks and excessive eye movements. At minimum 100 artefact-free epochs were acquired to each stimulus type in all studies. The data were averaged across epochs either online or offline. Then, appropriate high- and low-pass filters were applied to the obtained ERFs and a pre-stimulus baseline was set.

EEG data (Study II) were collected with in an electrically and acoustically shielded room at Laboratory of Computational Engineering, Helsinki University of Technology. EEG was recorded with a cap from 30 silver/silver chloride electrodes (BrainCap, Brain Products) from the following locations (extended 10–20 system): Fp1, Fp2, F3, F4, FCz, C3, C4, P3, P4, O1, O2, F7, F8, T7, T8, P7, P8, Fz, Cz, Pz, FC1, FC2, CP1, CP2, FC5, FC6, CP5, CP6, TP9, TP10. Reference electrode was at a tip of the nose. The signals were bandpass filtered at 0.016–100 Hz and digitized at 250 Hz. The impedance of the electrodes was below 5 k Ω . Eye movements were monitored with two EOG electrodes. Epochs with EEG or EOG with a large (> 60 V) amplitude were automatically rejected. The artefact-free epochs were filtered at 1–25 Hz, baseline corrected and averaged.

fMRI data (Study V) were acquired on a 3.0 T MRI system with a multislice gradient-echo echo planar imaging sequence (TR = 3000 ms; TE = 28 ms, flip angle = 90° , field of view = 256 mm², matrix = 64 x 64) at the Oxford FMRIB Center. Twenty-four 5-mm-thick axial slices covering the whole brain were acquired over the 15-min scans. The sparse-sampled sequence with silent periods of 11 s was used to minimize contamination due to auditory responses to scanner noise (Hall et al., 1999; Hall et al., 2000). The 3-s volume acquisition (mid-point) followed the onset of the acoustic stimulus by 5, 6 or 7 s (see Fig. 1), where the peak of the haemodynamic

response was assumed to be based on previous studies (Hickok et al., 1997; Belin et al., 1999; Hall et al., 2000). After the functional image acquisition a T1-weighted volume was acquired from each subject to aid in anatomical definition and coregistration (TR = 20 ms, TE = 5 ms, TI = 500 ms, flip angle = 15° , field of view = 256×192).

Source analysis in MEG studies

Sources of mismatch responses in Study I, auditory responses in Study III and somatosensory responses in Study IV were modelled as single ECDs, best describing the most dominant cerebral currents during the strongest dipolar field patterns. ECDs were identified by a least-squares search using a subset of sensors over the area of the maximum signal. The 3-D locations, orientations, and strengths of the ECDs were obtained in a spherical head model, based on the subject's individual MR images (if MR images were not available, a "standard" head model was used). The validity of the single-dipole model was evaluated by computing the goodness of fit (Hämäläinen et al., 1993).

In Studies III and IV the analysis was thereafter extended to cover the entire time period and all channels were included in computing a time-varying (multi)dipole model. The locations of the dipoles were kept fixed, but their strengths were allowed to change as a function of time.

In study III, the two-dipole model found on the basis of bilateral auditory-cortex responses to acoustic syllables was applied for the analysis of the same subject's audiovisual responses and "predicted" responses (i.e., sum of responses to unimodal stimuli). Then, the individual source waveforms were averaged across subjects. The grand average source waveforms served to define time windows within which the audiovisual and "predicted" source strengths differed from each other. The statistical significances of the differences between audiovisual and "predicted" source strengths were tested by Wilcoxon matched pairs tests

In Study IV, the dipole model found on the basis of SI responses recorded in the rest condition was applied for the analysis of the same subject's all responses (acquired during speech viewing and listening and execution of mouth movements). Finally, the peak latencies and amplitudes of SI responses were measured from the source waveforms in all conditions. Statistical significances of changes (relative to the rest condition) of the SI source strengths were tested with Student's paired, two-tailed t tests.

In Study III, MCEs were calculated to estimate the sources of difference signals (audiovisual – "predicted") (Uutela et al., 1999). The procedure was as follows: (1) Estimates of individual signals were calculated for each time point. (2) The individual estimates were aligned on a standard brain (Roland and Zilles, 1996). The alignment applies first a 12-parameter affine transformation (Woods et al., 1998),

followed by a refinement with an elastic non-linear transformation (Schormann et al., 1996). The match is based on the comparison of grey-scale values of the individual and the standard brain MR images. As a result, major sulci and other important brain structures are aligned. (3) Aligned estimates were averaged across subjects. (4) The regions of interests (ROIs) were selected from grand average estimates to cover the most active areas. The centre and extent of each ROI was automatically adjusted to fit the estimated activity. (5) The activity within a selected ROI was calculated for audiovisual and "predicted" grand average estimates as a function of time and the time windows during which the strengths of activities differed were defined. (6) The mean strength of activity within the ROI during the selected time window was then calculated from aligned individual audiovisual and "predicted" estimates. The strengths of audiovisual and "predicted" activities were compared to each other statistically by Wilcoxon matched pairs tests. (7) For the determination of cortical interaction areas, the centre points of selected ROIs were superimposed on the MRI of the standard brain (to which the individual estimates were aligned before averaging). The source coordinates of the ROI centre points were also transformed to Talairach coordinates.

Study I: Changes in visual speech modulate activity in the auditory cortices

Introduction and methods

Sams et al. (1991) showed in their MEG study that visual changes in an audiovisual speech stimulus sequence elicit mismatch responses in the left auditory cortex. This finding suggests that auditory-cortex change detection mechanisms can distinguish between visually different (but acoustically identical) audiovisual speech stimuli, which are heard differently due to the McGurk effect. In the MEG study we compared the mismatch responses elicited by "real" acoustic changes to those elicited by "illusory" auditory changes (due to the McGurk effect). Moreover, we investigated whether changes in visual speech stimuli elicit mismatch responses when they are presented without acoustic stimuli or whether integration with acoustic stimuli is needed.

In the audiovisual experiment, the stimulus sequence included infrequent congruent (acoustically and visually deviant) and incongruent (only visually deviant) audiovisual stimuli, which are typically *heard* to be phonetically deviant from the frequent stimuli by subjects who experience a McGurk effect (see Figure 4.1). In the visual experiment, the same visual stimuli were presented without acoustic stimuli (see Figure 4.1).

Audiovisual experiment:

Acoustic: Visual:	/ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/	/ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/	/ipi/ /ipi/ /ivi/ /ipi/ /ipi/ /ipi/ /ivi/ /ipi/
	Incongruent Deviant	Congruent Deviant	Target
	Deviait	Deviunt	
Visual exp	eriment:		
Visual:	/ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/	/ipi/ /ipi/ /ipi/ /ipi/ /ipi/ /ipi/	/ipi/ /ipi/ /ipi/
	Visual	Visual	Target

Figure 4.1. Schematic illustration of the stimulus sequences in the audiovisual and visual experiments. In the audiovisual experiment, the standard stimuli (85%) consisted of acoustic and visual /ipi/, the congruent deviant stimuli (5%) consisted of acoustic /iti/ and visual /iti/, the incongruent deviant stimuli consisted of acoustic /ipi/ and visual /iti/ and target stimuli (5%) consisted of acoustic and visual /ivi/. In the visual experiment, the same stimulus sequence was presented without the acoustic stimuli. In both experiments subjects were instructed to silently count target /ivi/ stimuli.

Deviant

Results and discussion

Deviant

Figure 4.2 depicts the source dipoles of the mismatch responses to congruent, incongruent and visual deviants in an individual subject superimposed on her MR images. All sources were located roughly in the superior temporal regions within the Sylvian fissures in both hemispheres, suggesting that changes even visual changes (incongruent and visual deviants) modulated activity in the auditory cortices.



Figure 4.2. Cerebral sources of the mismatch responses to congruent, incongruent and visual deviants in one subject superimposed on her MR images.

The comparison of EFs to standards and EFs to deviants showed that both congruent and incongruent deviants elicited significant (P < 0.05) mismatch responses *in the audiovisual experiment*. In the left hemisphere, the onset latencies of the mismatch responses were 130 ms and 140 ms for the congruent and incongruent deviants, respectively. In the right hemisphere, the onset latencies were 150 ms and 205 ms for the congruent and incongruent deviants, respectively. The results of the audiovisual experiment suggest that illusory auditory changes in audiovisual speech stimulus sequences are treated in the auditory cortices like real acoustic changes.

In the visual experiment, the changes in visual speech elicited also significant mismatch responses (P < 0.05). This suggests that auditory cortex can detect changes in visual stimuli, which are not integrated with acoustic stimuli. However, the onset latencies of these responses were 105 ms (left) and 40 ms (right) later than those elicited by visual changes (incongruent stimuli) in the audiovisual experiment. Thus, audiovisual integration seems to facilitate the detection of visual change in the auditory cortices.

In sum, the findings demonstrated that changes in visual speech stimuli activated the auditory cortex when presented with unchanging acoustic stimuli, confirming the previous results of Sams et al. (1991). The main finding was that changes in visual speech stimuli were detected in auditory cortices bilaterally, even when they were presented without acoustic stimuli. Furthermore, the visual changes were processed at a longer latency in the visual stimulus sequence than in the audiovisual stimulus sequence, implying that multisensory interaction accelerated visual change detection in the auditory cortex.

Study II: Non-phonetic interactions precede phonetic interactions during audiovisual speech processing

Introduction and methods

In study II, we investigated time courses of non-phonetic and phonetic multisensory interactions elicited by simultaneous seen and heard vowels by using EEG. We presented acoustic, visual and phonetically incongruent and congruent audiovisual vowels (/a/, /i/, /y/, /o/) to our subjects. The incongruent vowels were also perceptually conflicting, i.e., they did not produce a McGurk effect. We expected that differences in ERPs to congruent and incongruent vowels would reflect phonetic-level audiovisual interactions. On the other hand, differences between the sum of ERPs to acoustic and visual vowels ("predicted ERPs") and ERPs to audiovisual vowels were expected to reflect non-phonetic interactions between acoustic and visual vowels. In audiovisual stimuli, the onset of visual articulations preceded the acoustic stimulus onset by 95 ms in agreement with the natural characteristics of audiovisual speech. (All latencies are reported in relation to acoustic stimulus onset in later sections.)

Each subject participated in both behavioural and electrophysiological experiments. The same stimuli were used in both experiments. The aim of behavioural part of the study was to explore how phonetically congruent/incongruent cross-modal information affect categorization speed of unimodal vowels. In the experiment, subjects categorized either visual or acoustic vowels (depending on the condition) as quickly as possible into two phonetic categories (speeded two-choice task). During the EEG-recordings, stimulus types (acoustic, visual, incongruent and congruent audiovisual vowels) were presented in blocks, which had varying lengths (1.3 ± 0.2 min). In order to ensure that subjects attended to both acoustic and visual stimuli, they were instructed to press a button, when the stimulus type changed.

Results and discussion

The results of the reaction-time experiment are presented in Figure 4.3. When subjects categorized acoustic vowels, incongruent visual vowels prolonged reaction times (P < 0.01) and congruent visual vowels (P < 0.05) shortened the reaction times (see Figure 4.3). When subjects categorized visual vowels, incongruent acoustic vowels prolonged reaction times (P < 0.01), but the congruent ones did not affect reaction times (see Figure 4.3). These results demonstrate that phonetic-level interactions between acoustic and visual vowels affect identification speed of acoustic/visual vowels. The phonetically incongruent audiovisual vowels are recognized more slowly than the congruent ones.



Figure 4.3. Mean (N=11) reaction times to incongruent audiovisual, acoustic, visual and congruent audiovisual vowels in two experimental conditions. The subjects categorized either acoustic or visual vowels depending on the condition. Statistical significances are indicated (*p < 0.05, **p < 0.01, ***p < 0.001).

The comparison of the "predicted" ERPs and ERPs to audiovisual vowels revealed significant differences at latencies of 85, 125 and 225 ms after the acoustic

stimulus onset (see Figure 4.4). The phonetic (in)congruency did not affect the ERPs to audiovisual stimuli at these latencies. The first two interactions at latencies of 85 ms and 125 ms, which were dominant in electrodes over the right hemisphere, probably reflect suppression of visual and auditory responses, respectively, in the right sensory-specific regions. The third interaction at latency of 225 ms probably reflects modulated activity in the parietal cortex.



Figure 4.4. The left side of the figure shows the grand averaged ERPs to congruent, incongruent audiovisual vowels as well as the predicted ERPs (e.g., the sum of unimodal ERPs) at electrodes showing maximal difference. The vertical bars indicate significant differences between the ERPs to audiovisual vowels and predicted ERPs at three consecutive latencies. The right side of the Figure shows the grand averaged ERPs to incongruent and congruent audiovisual vowels. The vertical bars indicate significant differences between these ERPs at three consecutive latencies at electrodes showing maximal difference. The red circles and the top view of the electrode montage indicate at which electrodes the difference was significant. The enlarged red circle indicates the place of the electrode from which the depicted ERPs were recorded.

The comparison of ERPs to congruent and incongruent audiovisual vowels revealed significant differences at latencies of 155, 235 and 325 ms after the sound onset (see Figure 4.4). The first interaction (at 155 ms) was dominant in electrodes over the left hemisphere and could be explained by modulation of activity in the multisensory STS. The second and third interactions could be explained by modulated activity in parieto-occipital and temporal regions.

Importantly, the first two non-phonetic interactions, which were probably generated in the sensory-specific regions, were earlier than the first phonetic interaction. All phonetic interactions were probably generated in the high-level multisensory regions. The results suggest that sensory-specific and multisensory cortices are involved in audiovisual speech processing at separate latencies and that they are sensitive to different features of audiovisual stimuli.

Study III: Acoustic and visual speech inputs interact in auditory cortices earlier than in a multisensory region

Introduction and methods

In this MEG study we explored timing multisensory interactions in the auditory and multisensory cortices during audiovisual speech perception. The acoustic, visual and audiovisual /pa/ syllables were produced by a Finnish talking head (Olives et al., 1999, Möttönen et al., 2000). The differences between the sum of auditory and visual responses ("predicted responses") and audiovisual responses were expected to reflect multisensory interactions.

A two-dipole model consisting of left and right auditory-cortex dipoles was used to estimate time-varying strength of auditory-cortex activity for audiovisual and "predicted" responses. The sources of difference (audiovisual – "predicted") responses were estimated by calculating MCEs.

Results and discussion

Auditory-cortex source dipoles were modelled from each subject's bilateral neuromagnetic responses peaking 100 ms after acoustic stimulus onset (see Figure 4.5). These dipoles were then used to estimate contribution of auditory-cortex activity to audiovisual responses and to "predicted" responses. The strengths of auditory-cortex sources differed 150–200 ms (P < 0.05) after stimulus onset in both hemispheres for audiovisual responses and "predicted" responses, suggesting that acoustic and visual syllables interacted at this latency in the auditory cortices.



Figure 4.5. Audiovisual interaction in the auditory cortices. A. The current dipoles for N100m responses of subject 8 superimposed on his MRIs. B. Strengths of the current dipoles as a function of time in subject 8 (grey: audiovisual (AV), black: "predicted" (A+V)). C. Mean (N=8) strengths of the current dipoles as a function of time (grey: AV, black: A+V). D. The mean source strengths for A+V, AV and A signals at 150 - 200 ms in the left and right hemispheres.

Due to the low SNR of difference responses (audiovisual responses – predicted responses), they could not be localized reliably by means of dipole modelling from each subject's data. Therefore, MCEs of each subject's difference responses were calculated and the MCEs were averaged across subjects. The grand average MCE showed prominent activity in the right STS region 250–600 ms after stimulus onset (Figure 4.6). In this region, the strength of activity estimated from audiovisual responses was weaker than that estimated from "predicted" responses in all eight subjects (Figure 4.6).

The results suggest that multisensory interactions occur earlier in the auditory cortices (150–200 ms) than in the right STS region (250–600 ms), which is known to be a multisensory region. These results are in *agreement* with the view that acoustic and visual stimuli would converge initially at a low level of CNS (in the sensory-specific cortices or in the subcortical structures) and that the high-level regions (such as STS) would participate in multisensory processing at a later stage. On the other hand, the results are in *disagreement* with the view that modulated activity in the sensory-specific cortices would be caused by preceding interactions in the higher-level multisensory regions (this view would predict later interactions in the auditory than multisensory regions).



Figure 4.6. Audiovisual interaction in the right Superior Temporal Sulcus (STS). A. Grand average MCE calculated from AV–(A+V) signals at 250–600 ms. ROI was determined on the basis of the right temporal activation (inside the white ellipse). B. Strengths of estimated activity in the right STS at 250 – 600 ms for audiovisual (AV) and "predicted" (A+V) signals in all eight subjects (black diamonds). B. The center point of the right temporal ROI (the red square) superimposed on the standard MRI shows that it is located in the right STS. The black squares indicate the mean source locations of N100 responses.

Study IV: Viewing speech modulates activity in the mouth region of the left primary somatosensory cortex (SI)

Introduction and methods

The motor regions are activated when a subject sees hand or mouth actions or hears sounds related to these actions (Fadiga et al., 1995; Hari et al., 1998; Fadiga et al., 2002; Watkins et al., 2003), supporting the view that other person's action are simulated during action observation. There is also evidence that primary somatosensory cortex (SI) would be involved in simulation of other person's hand actions. For example, the 30–35-ms somatosensory evoked fields (SEFs), originating from the SI cortex after median nerve stimuli, are enhanced during viewing of hand actions (Avikainen et al., 2002; Rossi et al., 2002). Consistently, a recent functional magnetic resonance imaging (fMRI) study demonstrated that SI cortex is activated during hand action viewing (Hasson et al., 2004). Such a modulation of SI cortex could be related to prediction of somatosensory consequences of observed hand actions from the actor's perspective (Avikainen et al., 2002; Hari and Nishitani,

2004). In the present study we aimed at finding out whether speech viewing and listening would affect cortical somatosensory processing by using MEG. Activity in the mouth and hand projection regions of the SI cortex was probed with tactile lip (lip experiment) and electric median nerve (hand experiment) stimuli to find out whether the possible effects would be somatotopic.

In both lip and hand experiments, neuromagnetic signals were recorded in four conditions during which the experimenter was sitting in front of the subject. In the (i) rest condition, the subject was sitting relaxed, fixating on a board (which prevented the experimenter to be seen). In the (ii) speech listening condition, the experimenter was reading a book aloud behind the board, and the subject was instructed to listen carefully to her voice while fixating on the board. In the (iii) speech viewing condition, the board was removed and the experimenter was reading the book articulating silently; the subject was instructed to observe carefully the reader's mouth movements. In the (iv) mouth movement condition, the subject was instructed to execute frequent lip protrusions.

Results and discussion

In the rest condition, the lip stimuli elicited prominent SI responses 54 ± 1 ms (mean \pm SEM, left hemisphere) and 53 ± 1 ms (right hemisphere) after stimulus onset and median nerve stimuli 34 ± 2 ms (left hemisphere) and 38 ± 1 ms (right hemisphere) after the stimuli onset. The sources of these responses were modeled as ECDs. Figure 4.7 shows the ECDs of Subject 1 for the 58-ms responses to lip and the 35-ms responses to median-nerve stimuli superimposed on the axial and sagittal MRI slices. The sources for both responses are located in the SI cortex, in the posterior wall of the central sulcus. ECDs for the lip stimuli are 20 mm (left hemisphere) and 14 mm (right hemisphere) more lateral along the rolandic fissure than ECDs for the median-nerve stimuli, in agreement with the somatotopic organization of the SI cortex.

Figure 4.8 shows the mean percentual changes (relative to the rest condition) of the mouth and hand SI source strengths during speech observation and mouth movements. Strengths of the left mouth SI sources increased by $16 \pm 3\%$ (P < 0.01) during viewing speech, without any significant effect in the right hemisphere. Listening to speech did not affect the strengths of the mouth SI sources significantly in either hemisphere. Own mouth movements suppressed the strengths of mouth SI sources by $77 \pm 7\%$ (P < 0.001) in the left hemisphere and by $70 \pm 10\%$ (P < 0.001) in the right hemisphere, in agreement with the well-known "sensory gating" (Schnitzler et al., 1995; Forss and Jousmäki, 1998). Strengths of the hand SI sources were not modulated during own movements nor during speech viewing/listening.



Figure 4.7. ECDs of Subject 1 to lip and median-nerve stimuli superimposed on the subject's own MR images (axial and sagittal slices).



Figure 4.8. The mean (\pm SEM) percentual changes in source strengths during speech viewing, speech listening and mouth movements (relative to the rest condition) in the mouth and hand areas of the SI cortices. Statically significant differences are indicated. The strengths were measured at the latencies of 54 ± 1 ms and 53 ± 1 ms for the lip stimuli, and at 34 ± 2 ms and 38 ± 1 ms for the median-nerve stimuli in the left and right hemispheres, respectively.

The results show that viewing other person's articulatory mouth movements enhances activity in the left SI mouth area. This effect was not seen in the corresponding region in the right hemisphere, nor in the SI hand area of either hemisphere. Thus, mouth action viewing activated the left SI cortex in a somatotopic manner. These data suggest that SI cortex is involved in embodied simulation other persons' actions.

Study V: Left posterior STS contains neural substrate for speech perception

Introduction and methods

Sine wave speech (SWS) stimuli are typically perceived as non-speech when subjects are not aware of the origin of the stimuli. As soon as subjects are told that SWS stimuli are modified speech sounds, they start to hear them as speech (Remez et al., 1981). A psychophysical study of (Tuomainen et al., in press) showed that an audiovisual stimulus, which consists of an acoustic SWS stimulus and a visual articulation, produce a McGurk illusion when subjects are in the speech mode but not when they are in the non-speech mode.

We used the SWS stimuli of Tuomainen and collaborators (in press) in the present fMRI study in order to find out whether the left posterior STG/STS regions are *speech-specific*, i.e., that they are specialized for sub-lexical processing of sounds perceived as speech, independently of their acoustic characteristics. We hypothesized that these regions should be more active when subjects perceive the SWS stimuli as speech as compared with the activity when the *same* stimuli are perceived as non-speech. To ensure that the subjects' mode of perception really changed after training to perceive SWS stimuli as speech, incongruent audiovisual stimuli were presented to the subjects. Specifically, we hypothesized that seeing incongruent articulatory movements should affect the categorization of acoustic SWS stimuli when (and only when) subjects perceived them as speech.

The experiment included three stimulus types: (1) SWS replicas of /omso/ and /onso/, (2) control sounds, (3) incongruent audiovisual stimuli which consisted of SWS replica of /omso/ and visual articulation of /onso/. This type of audiovisual stimulus was previously shown to produce *auditory* /onso/ percepts, while the subjects perceived the SWS stimuli as speech in the study of Tuomainen et al. (submitted). The control stimulus did not sound like speech, yet shared some physical characteristics with SWS stimuli. It was expected that speech training would not affect the perception of the control stimulus, unlike the perception of SWS stimuli Furthermore, the experiment included baseline trials during which a still face with mouth closed was presented without any sound.

In order to test speech-specificity within the left superior temporal cortex, we contrasted pre- and post-training activations within a ROI. The ROI for the left superior temporal cortex was obtained from the Volumes of Interest database (Nielsen and Hansen, 2002). The used ROI included the mid and posterior parts of STG and STS, Heschl's gyrus and parieto-occipital junction. A mixed-effects group analysis was carried out within this ROI. Statistical parametric images were thresholded at Z > 2.3 for subsequent clustering, and the cluster-wise significance threshold was set at *P* < 0.05, corrected for multiple comparisons across the ROI.

Results and discussion

16 out of 21 subjects reported after the experiment that they perceived SWS stimuli as non-speech during the pre-training session and as speech during the post-training session. The data of five subjects who reported having perceived the SWS stimuli as non-speech during both sessions were excluded from the further data analyses. Figure 4.9 shows the mean (n = 16) proportions of correct responses to SWS and audiovisual stimuli during pre- and post-training. Proportions of correct responses to SWS and audiovisual stimuli did not differ significantly during the pre-training session, indicating that viewing incongruent articulation did not affect subjects' auditory (non-speech) perception. In contrast, during the post-training session the incongruent audiovisual stimuli were identified significantly less accurately than SWS stimuli (*t*-test, P < 0.001), demonstrating that viewing incongruent articulation modified subjects' auditory perception (i.e., subjects experienced a McGurk effect). These behavioural findings support the view that subjects perceived the SWS stimuli differently (as non-speech and speech) in the pre- and post-training sessions. The control stimuli were identified perfectly in both sessions.



Figure 4.9. Proportions of correctly identified SWS stimuli presented alone and together with visual articulations (audiovisual stimuli) in the pre- and post-training sessions (n = 16). The difference in proportions of correct responses to SWS and audiovisual (AV) stimuli was tested by carrying out *t* tests; statistical significances are indicated.

In ROI analysis, SWS stimuli were found to elicit stronger activity during the post- than pre-training session in the left posterior STS (Talairach coordinates: x = -61 mm, y = -39 mm, z = 2 mm, cluster size: 117 voxels; Figure 4.10). None of the regions within the ROI showed decreased activity to SWS stimuli in the post-training session contrasted with the pre-training session. No differences were found between pre- and post-training activations for either control or audiovisual stimuli.

To test further whether the left posterior STS region, showing increased activity to the SWS-stimuli after speech training, fulfils the criteria of "a speech-specific" region, the BOLD signal intensities to all stimulus types (contrasted with baseline) during pre- and post-training session were obtained from the data of individual subjects (Figure 4.10). Statistical comparisons showed that the BOLD signals were increased after speech-training for SWS (*t*-test, P < 0.001), but not for control stimuli. Furthermore, BOLD signals for audiovisual stimuli were increased significantly after training (*t*-test, P < 0.05). The signals for the SWS and audiovisual stimuli did not differ from each other in either session. BOLD signals for neither SWS nor audiovisual stimuli differed from the signals for control stimuli during pre-training session. In the post-training session, signals for both SWS (*t*-test, P < 0.01) and audiovisual (*t*-test, P < 0.01) stimuli differed significantly from signals for control stimuli.



Figure 4.10. The left side of the Figure shows the region, which was activated more in the post- than in the pre-training session for the SWS stimuli. The analysis was carried out within a left superior temporal ROI (indicated as blue). Statistical images were thresholded using clusters determined by Z > 2.3 and a cluster significance threshold of P < 0.05, corrected for multiple comparisons across the ROI. The right side of the Figure depicts the BOLD signal changes (n = 16) in the left posterior STS for all stimulus types in the pre- and post-training sessions. The statistical significances are indicated.

Our results support previous evidence that there are neurons in the left posterior superior temporal cortex which are activated specifically during perception of speech sounds. BOLD signals elicited by SWS stimuli were greater in the left posterior STS region when subjects perceived stimuli as speech, in comparison with the BOLD signals elicited by the same stimuli when perceived as non-speech. Importantly, BOLD signals elicited by the control stimuli, which were always perceived as non-speech, did not change during the whole experiment. Since *identical* acoustic stimuli were presented in non-speech and speech perception sessions, it can be ruled out that acoustical properties of the stimuli would have caused the activity modulation in the left posterior STS.

Chapter 5: General discussion

The current study investigated brain mechanisms underlying auditory, visual and audiovisual speech perception by using EEG, MEG and fMRI. The left posterior STS region was found to contain neural substrate for hearing speech (Study V). Visual speech was shown to modulate activity in both auditory (Study I) and somatosensory cortices (Study IV). Furthermore, acoustic and visual speech signals were shown to interact both at early and late levels of cortical processing (Studies I– III). The following sections discuss these main findings. The first section discusses cortical mechanisms of seeing and hearing speech separately. The second section discusses multisensory interactions during audiovisual speech perception.

Processing of acoustic and visual speech

Speech processing in the superior temporal cortex

Numerous studies have found evidence that left posterior STG/STS regions would be specialized in processing of speech sounds (Demonet et al., 1992; Zatorre et al., 1992; Mummery et al., 1999; Binder et al., 2000; Scott et al., 2000; Vouloumanos et al., 2001; Narain et al., 2003). It has however remained open whether these regions contain speech-specific neural representations or whether these regions are specialized in processing of complex acoustic features characteristic to familiar speech sounds. Results of Study V strongly suggest that the left posterior STS contains speech-specific neural representations onto which acoustic input is mapped during speech perception, but not during non-speech perception. Since we used identical SWS stimuli in non-speech and speech perception conditions, the acoustic features of the stimuli cannot explain the modulated activity in the left STS. Our findings suggest, together with earlier studies, that speech-specific processing takes place at a relatively late level of auditory processing stream. This conclusion is in agreement with the proposed hierarchical organization of the cortical sound processing, according to which the primary and secondary auditory cortices (in ~HG/STG) are responsible for processing acoustic features of both non-speech and speech sounds, whereas the higher-order regions (in STS) are responsible for the phonetic categorization of speech sounds (see, e.g., Binder et al., 2000). It has been proposed that the speech representations in the left posterior STS would be either articulatory-gestural (Scott and Johnsrude, 2003; Scott and Wise, 2004) or acousticphonetic (Hickok and Poeppel, 2000; 2004).

Recording mismatch responses (with MEG or EEG) has proven to be an effective tool to study processing of acoustic input in the low-level auditory regions in

the superior temporal cortex (~HG/STG) (for a review, see Näätänen, 2001). Study I demonstrated, for the first time, that also *visual* changes, presented without acoustic stimuli, can elicit mismatch responses in the auditory cortices (~HG/STG). Evidently, there are neural mechanisms in the auditory cortex, which are able to distinguish between visual speech inputs (/ipi/ versus /iti/). The finding challenges, together with previous fMRI studies (e.g., Calvert et al. 1997), the traditional view that the human auditory cortices would solely process acoustic input in isolation from other sensory systems. Visual speech could have a special access into the auditory-cortex changedetection mechanisms, since any other types of visual stimuli (presented without acoustic stimuli) have not been found to elicit auditory-cortex mismatch responses (e.g., Nyman et al., 1990; Alho et al., 1992; Tales et al., 1999; Stekelenburg et al., 2004). There is evidence that changes in non-attended visual speech stimuli would not elicit auditory-cortex responses that have the characteristics of mismatch responses (Colin et al., 2002; Colin et al., 2004). In Study I the subjects attended to the stimuli. Whether the differential results can be explained in terms of attention needs to be addressed in further studies.

Embodied simulation of speech

A specific feature of a speech signal is that it is produced by motor acts of another human being. Consequently, it is possible to imitate other person's articulatory gestures during seeing and hearing speech. To date, there is considerable evidence that the motor system is activated during both seeing and hearing speech, suggesting that observers indeed *simulate talker's motor acts* during speech perception as suggested by the motor theory of speech perception (Liberman et al., 1967; Liberman and Mattingly, 1985).

Successful controlling of own actions, however, requires also *somatosensory*, not only motor, structures. Especially during speech production, sensory feed-back from the articulatory organs is important (see, e.g., Trembley et al., 2003). Thus, it can be hypothesized that complete simulation of speech actions would activate somatosensory brain regions. Study IV showed that this is the case. Activity in the mouth region of left SI cortex was modulated during viewing other person's articulatory gestures, i.e., speechreading. This finding supports the view that a widely distributed neural circuitry (that goes beyond motor regions) subserves embodied simulation of other person's action-related sensations and hence could enable the observer to experience what the other person feels while performing motor acts, such as articulatory gestures.

Multisensory interactions during audiovisual speech perception

Early cortical interactions

Studies I–III consistently showed that activity in the auditory cortices (~HG/STG) is modulated during audiovisual speech perception within 200 ms after acoustic stimulus onset. In Study II, activity in the right visual cortex was modulated as well, 85 ms after acoustic stimulus onset (180 ms after visual stimulus onset). These timings show that the acoustic and visual speech signals start to interact at early latencies at a low level of cortical processing hierarchy. In agreement, previous fMRI studies have demonstrated that activity in the low-level, presumably sensory-specific, cortices (HG, V5/MT) is modulated during audiovisual speech perception (Calvert et al., 1999).

An important question is whether the earliest multisensory interactions during audiovisual speech processing occur before, during or after phonetic categorization of sensory inputs. The phonetic categorization of acoustic speech has been estimated to start 100–150 ms after acoustic stimulus onset (see, e.g., Rinne et al., 1999; Philips et al., 2000). In Study II the early suppressions of the visual (85 ms) and auditory (125 ms) ERPs occurred probably before phonetic categorization of sensory inputs. This conclusion is strongly supported by the fact that phonetic congruency of audiovisual stimuli did not affect these early interaction effects in Study II. Furthermore, both early interactions were right-lateralized. In Study III, the bilateral auditory-cortex interaction effects started 150 ms after acoustic stimulus onset, thus they could have occurred at a stage of phonetic categorization. In Study I, the onset latency of auditory-cortex mismatch responses to visual changes was shortened when acoustic stimuli were presented simultaneously with visual stimuli. The onsets of the responses to McGurk-type of audiovisual stimuli were 150 ms and 205 ms in the left and right auditory cortices, respectively. These latencies are rather typical to mismatch responses elicited by "real" acoustic-phonetic changes, suggesting that audiovisual integration occurred at an early level, before or during phonetic categorization.

Short-latency multisensory interactions in the sensory-specific cortices have been found also in ERP studies using non-speech audiovisual stimuli (for reviews, see (Fort and Giard, 2004; Schroeder and Foxe, 2004). The non-speech studies have demonstrated that visual ERPs are enhanced by simultaneous presentation of acoustic stimuli about 40 ms after stimulus onset (Giard & Peronnet, 1999; Molholm et al., 2002). Furthermore, Giard & Peronnet (1999) found that visual N1 response, peaking 185 ms after stimulus onset over right occipito-temporal regions, is suppressed during simultaneous presentation of acoustic stimuli. A similar right-lateralized suppression of visual N1 (peaking 180 ms after visual stimulus onset) was found also in Study II. Thus, in light of the current evidence it seems that the visual N1 is suppressed when either non-speech or speech stimuli are used. On the other hand, auditory N100 response has not been found to be suppressed in non-speech ERP studies. Instead, Giard and Peronnet (1999) found enhancement of auditory N100 response in a "visually-oriented" subject group. Thus, the suppression of auditory N100 response found in the Study II might be specific to audiovisual speech (see also, Jääskeläinen et al., in press).

One should be however cautious when comparing results of above mentioned non-speech ERP studies and Study II. Since the studies differ from each other with respect to tasks of the subjects and temporal characteristics of the audiovisual stimuli, the discrepant results are not necessarily due to nature of stimuli (non-speech/speech). In non-speech studies, subjects have typically responded to each stimulus and the onsets of acoustic and visual components have been simultaneous in audiovisual stimuli. In our speech studies, we have used more passive tasks and in audiovisual stimuli the onset of visual component has preceded that of acoustic one according to the natural characteristics of audiovisual speech. Studies, which directly compare multisensory interactions of speech and non-speech stimuli, are needed in order to resolve whether the early interactions occurring in putative sensory-specific cortices are sensitive to "speechness" of the stimuli.

Late cortical interactions

Study III demonstrated that activity in the right multisensory STS region is modulated at a rather late stage (onset 250 ms) of audiovisual speech processing. The results of the Study II were also consistent with the view that the late (>150 ms) audiovisual interactions occurred in the putative multisensory cortical regions in temporal and parietal lobes.

In Study II, the late left-lateralized interactions (>150 ms) were shown to be sensitive to phonetic congruency of audiovisual vowels, suggesting that phonetic features of acoustic and visual speech signals are extracted and combined at a late stage of sensory processing. Timing of the onset of the first phonetic interaction, 155 ms after acoustic stimulus onset, agrees well with the suggested onset of phonetic processing of speech sounds (see, e.g., Philips et al., 2000). The scalp distribution of this first phonetic interaction effect could be explained by two temporal sources, in the left and right STS regions. (Note, however, that exact locations of the underlying sources cannot be determined due to poor spatial resolution of ERPs.)

The multisensory STS region has been shown to be involved in integration of audiovisual speech in number of studies (Calvert et al., 2000; Sekiyama et al., 2003; Wright et al., 2003; Callan et al., 2004). However, this region participates also in integration of non-speech audiovisual stimuli (Beauchamp et al., 2004; van Atteveldt et al., 2004). MEG study of Raij et al. (2000) showed that integration of letters and speech sounds starts 380 ms and 450 ms after stimulus onset in the left and right STS regions, respectively, indicating, in agreement with Study III, that STS takes part in audiovisual processing at a late stage of sensory processing. Thus, the STS regions seem to be responsible for integrating non-speech acoustic and visual stimulus

contents which are *learned* to be connected with each other (e.g., a letter "R" and a speech sound /r/, or a picture and a sound of the telephone). This type of "associative" integration is likely implemented by neural mechanisms that are different from, e.g., "spatio-temporal" integration occurring in SC neurons (see also Raij and Jousmäki, 2004). It is possible that also acoustic and visual speech signals are integrated by these kinds of associative mechanisms in the STS region.

Frontal speech motor regions are also potential candidates for late interaction sites, since they are activated by both seen and heard speech (Fadiga et al., 2002; Watkins et al., 2003; Watkins and Paus, 2004; Wilson et al., 2004). We recently carried out an fMRI study in which BOLD responses to phonetically congruent and incongruent audiovisual vowels (identical with the stimuli of Study II) were compared (Ojanen et al., 2004). Phonetically incongruent audiovisual vowels elicited greater BOLD responses in the Broca's area than the congruent ones, suggesting that this region participates in integration of audiovisual speech. In monkeys, audiovisual mirror neurons have been found in the F5 region, which is the homologue of the Broca's region in the human brain (Kohler et al., 2002; Keysers et al., 2003). By definition, an audiovisual mirror neuron responds both when a monkey sees or hears a specific action (e.g., peanut breaking) and when the monkey performs the same action itself. In humans, this kind of mirror neurons could subserve integration of seen and heard speech inputs, which originate from the talker's articulatory actions.

Summary and insights to further studies

The translation of the perceptual theories of audiovisual speech integration (see Chapter 2) to neural implementations is not straightforward. However, some predictions concerning cortical integration mechanisms can be derived from these theories. The theories assuming that audiovisual integration occurs early at a prephonetic level would naturally predict that seen and heard speech interact with each other at low-level cortical regions at early latencies. This prediction gained support in the present study. At an early stage (~50-200 ms) of cortical processing, seen and heard speech signals interacted in the sensory-specific cortices (Studies I-III). On the other hand, theories assuming that acoustic and visual speech signals are phonetically categorized separately and then associated would predict that integration occurs in the higher-order multisensory regions at late latencies (see, e.g., Bernstein et al., 2004). In agreement with this view, late (~150-600 ms) audiovisual interactions were found in the multisensory STS regions in the current study (Studies II-III). The gestural theories (the motor theory and the direct realist theory) would predict that seen and heard speech converge in gestural representations. This type of interaction mechanisms are likely to exist as well, since both auditory and visual speech seem to activate frontal "speech production regions" (Fadiga et al., 2002; Watkins et al., 2003; Watkins and Paus, 2004; Wilson et al., 2004) and Broca's region shows differential responses to phonetically incongruent and congruent audiovisual vowels (Ojanen et al., 2004). In sum, all predictions derived from different perceptual theories have gained support to some extent in recent neurophysiological studies.

Thus, multiple, hierarchically organized, cortical mechanisms are likely to be responsible for binding seen and heard speech in the human brain. This is not surprising, taken into account that according to recent views, heard speech is also processed by parallel, hierarchically organized, cortical pathways which map acoustic input into different kinds (acoustic-phonetic and articulatory gestural) of neural representations (e.g., Scott and Johnstrude, 2003; see Chapter 2).

The challenge of further research is to characterize behavior and functional significance of the distinct cortical mechanisms participating in integration of seen and heard speech. An important question is whether speech stimuli are integrated in a fundamentally different manner than non-speech stimuli. This question can be answered only by studies which directly compare multisensory interactions elicited by audiovisual non-speech and speech stimuli. Further studies should also address the sensitivity of different cortical integration mechanisms to different physical parameters of audiovisual speech (and non-speech) stimuli (e.g., temporal synchrony, spatial coincidence, phonetic congruence). Furthermore, it is important to address the influence of cognitive factors such as attention and learning on these mechanisms in order to find out, for instance, which integration mechanisms are sensitive to whether the subject attends to the stimuli or not and/or whether s/he has experienced specific audiovisual events before or not.

Conclusions

Speech is the primary communication tool in everyday life. Our remarkable skill to understand heard and seen speech has been under active investigation during past decades. Recently, modern brain research techniques, such as EEG, MEG, fMRI, have started to illuminate brain mechanisms that underlie speech perception. Although we are still far from understanding how the brain allows us to hear voices and see lips moving, some important findings have been made. Importantly, neural processing of acoustic and visual speech signals is not restricted to auditory and visual systems, respectively. This study showed that the left posterior STS region is crucially important in auditory speech perception; as its activity is modified when perception of acoustic signals changes from non-speech to speech. The finding supports the view that speech-specific processing occurs at a late stage of auditory processing stream. Furthermore, it was shown that visual speech has access to the auditory cortex and to the left SI mouth cortex, demonstrating that the putatively sensory-specific regions of other modalities are involved in speechreading. Multisensory interactions between seen and heard speech were found in the sensory-specific cortices at early latencies and in the multisensory regions at late latencies. Altogether, the results imply that a widely-distributed network of low- and high-level cortical regions subserves seeing and hearing speech.

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